



PTO/SB/21 (03-2003)

Approved for use through 04/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCETRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/829,245

Filing Date 04/22/2004

First Named Inventor Ingolf Rauh

Art Unit 2681

Examiner Name to be assigned

Attorney Docket Number 2002P13537WOUS

## ENCLOSURES (Check all that apply)

Fee Transmittal Form  
 Fee Attached  
 Amendment/Reply  
 After Final  
 Affidavits/declaration(s)  
 Extension of Time Request  
 Express Abandonment Request  
 Information Disclosure Statement  
 Certified Copy of Priority Document(s)  
 Response to Missing Parts/Incomplete Application  
 Response to Missing Parts under 37 CFR 1.52 or 1.53

Drawing(s)  
 Licensing-related Papers  
 Petition  
 Petition to Convert to a Provisional Application  
 Power of Attorney, Revocation  
 Change of Correspondence Address  
 Terminal Disclaimer  
 Request for Refund  
 CD, Number of CD(s) \_\_\_\_\_

After Allowance Communication to a Technology Center (TC)  
 Appeal Communication to Board of Appeals and Interferences  
 Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  
 Proprietary Information  
 Status Letter  
 Other Enclosure(s) (please identify below):

## Remarks

1. Transmittal Form; 2. Fee Transmittal, Form; 3. Request for corrected Filing Receipt; 4. Copy of the filing receipt (PTO first page); 5. Copy of the notice to file missing parts of non-provisional application (PTO); 6. Recordation Form Cover Sheet; 7. Executed Assignment; 8. Executed Declaration; 9. Information Disclosure Statement; 10. Information Disclosure Statement by Applicant (2 pages); 11. Patent Documents; 12. Certified copy f the priority document; 13. Request for priority under 35 USC § 119(a); 14. Cover letter (in duplicate); 15. return postcard.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Jacob Eisenberg, Reg. No. 43,410; Customer No. 28,204 Siemens Schweiz, Intellectual Property Department, I-44, Albisriederstrasse 245, CH-8047, Zurich, Switzerland
Signature	
Date	July 6, 2004

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: \_\_\_\_\_

Typed or printed

Signature

Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

**SIEMENS**

VIA DHL  
United States Patent & Trademark Office  
2011 South Clark Place  
Customer Window, Mail Stop Application Number  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, Virginia USA 22202

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Department	IP
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Your reference	10/829,245
Our reference	2002P13537WOUS/ERB
Date	July 6, 2004

**Customer No.: 28204**

Re: Utility Patent Application

Atty. Dock.: 2002P13357WOUS; Serial No.: 10/829,245

Filed: 04/22/2004; Inventor(s): Ingolf Rauh et al

For: "Method and device for reading postal article inscriptions or document inscriptions"

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Sir:

In response to the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(b), a copy of which is attached hereto, the following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Transmittal Form;
2. Fee Transmittal Form;
3. Copy of the notice to File Missing Parts of Nonprovisional Application (PTO);
4. Executed Declaration;
5. Recordation Form Cover Sheet;
6. Executed Assignment;
7. Request for Corrected Filing Receipt;
8. Copy of the filing receipt (PTO first page);
9. Certified Copy of the Priority Document;
10. Request for Priority under 35 USC §119(a);
11. Information Disclosure Statement;
12. Information Disclosure Statement by Applicant (2 pages)
13. Patent Documents; and
14. Return Post Card.

Siemens Switzerland Ltd

Albisriederstrasse 245  
P.O.B.  
CH-8047 Zürich

Main telephone number  
Siemens Switzerland SA  
+41 585 585 585

It is respectfully requested that the attached post card be stamped with the filing date of these documents and returned to us via post. A duplicate of this letter is enclosed.

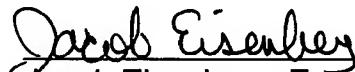
In the event that the transmittal form is separated from this document and the Patent Office determines that an extension of time and/or other relief is required, Applicants petition for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petition and/or other fees in connection with the filing of this document to

**Deposit Account No.: 502464** referencing attorney docket number 2002P13537WOUS.

However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Date: 07/06/2004

Respectfully Submitted,



Jacob Eisenberg, Esq.  
Attorney for Applicant  
Registration No. 43,410

**Enclosures**



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

EPW

EP

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/829,245	04/22/2004	Ingolf Rauh	2002P13\$57WOUS 53

CONFIRMATION NO. 7266

## FORMALITIES LETTER



\*OC000000013088687\*

28204  
SIEMENS SCHWEIZ  
I-44, INTELLECTUAL PROPERTY  
ALBISRIEDERSTRASSE 245  
ZURICH, CH-8047  
SWITZERLAND

Date Mailed: 06/28/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts

07/14/2004 FFANAI2 00000051 502464 10829245  
01 FC:1051 130.00 DA  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

*A copy of this notice MUST be returned with the reply.*

*Vmar*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART I - ATTORNEY/APPLICANT COPY



PTO/SB/17 (10-03)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\$)	170.00
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Complete if Known	
Application Number	10/829,245
Filing Date	04/22/2004
First Named Inventor	Ingolf Rauh
Examiner Name	2621
Art Unit	To be assigned
Attorney Docket No.	2002P13537WOUS

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account:

Deposit Account Number	502464
Deposit Account Name	Siemens Schweiz

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee		00.00	
1002 340	2002 170	Design filing fee			
1003 530	2003 265	Plant filing fee			
1004 770	2004 385	Reissue filing fee			
1005 160	2005 80	Provisional filing fee			
<b>SUBTOTAL (1)</b>		<b>(\$)</b> 00.00			

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
	-2** =	0	X 18 = 0
Independent Claims	- 3** =	0	X 86 = 86.0
Multiple Dependent			= 86.0

Large Entity	Small Entity	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>(\$)</b> 00.00

\*\*or number previously paid, if greater; For Reissues, see above

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath			
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet			130.00
1053 130	1053 130	Non-English specification			
1812 2,520	1812 2,520	For filing a request for ex parte reexamination			
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action			
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action			
1251 110	2251 55	Extension for reply within first month			
1252 420	2252 210	Extension for reply within second month			
1253 950	2253 475	Extension for reply within third month			
1254 1,480	2254 740	Extension for reply within fourth month			
1255 2,010	2255 1,005	Extension for reply within fifth month			
1401 330	2401 165	Notice of Appeal			
1402 330	2402 165	Filing a brief in support of an appeal			
1403 290	2403 145	Request for oral hearing			
1451 1,510	1451 1,510	Petition to institute a public use proceeding			
1452 110	2452 55	Petition to revive - unavoidable			
1453 1,330	2453 665	Petition to revive - unintentional			
1501 1,330	2501 665	Utility issue fee (or reissue)			
1502 480	2502 240	Design issue fee			
1503 640	2503 320	Plant issue fee			
1460 130	1460 130	Petitions to the Commissioner			
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)			
1806 180	1806 180	Submission of Information Disclosure Stmt			
8021 40	8021 40	Recording each patent assignment per property (times number of properties)			40.00
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))			
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))			
1801 770	2801 385	Request for Continued Examination (RCE)			
1802 900	1802 900	Request for expedited examination of a design application			
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid					
<b>SUBTOTAL (3)</b>		<b>(\$)</b> 170.00			

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Jacob Eisenberg	Registration No. (Attorney/Agent)	43,410	Telephone +41585583295
Signature	<i>Jacob Eisenberg</i>		Date	07/06/2004

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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